

# Efficiently computable upper bounds for quantum communication

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Based on the joint submission

**(1709.00200)**

Xin Wang, Kun Fang, Runyao Duan

Centre for Quantum Software and Information

University of Technology Sydney

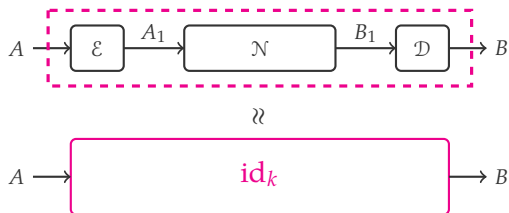
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**(1709.04907)**

Mario Berta\*, Mark M. Wilde<sup>†</sup>

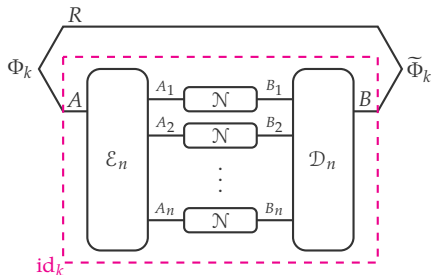
\*Imperial College London <sup>†</sup> Louisiana State University





How good the simulation is? [Kretschmann, Werner, 2004]

- ⊙ Channel distance  $\|\text{id}_k - \mathcal{D} \circ \mathcal{N} \circ \varepsilon\|_{\diamond}$ .
- ⊙ Channel fidelity  $F(\Phi_k, \mathcal{D} \circ \mathcal{N} \circ \varepsilon(\Phi_k))$ , where  $|\Phi_k\rangle = \frac{1}{\sqrt{k}} \sum_{i=1}^k |ii\rangle$ . ✓
- ⊙ ...



- ⊙  $r$ : qubits transmitted per channel use.
- ⊙  $n$ : number of channel uses.
- ⊙  $\varepsilon$ : error tolerance.
- ⊙  $(r, n, \varepsilon)$  achievable: exists  $\Phi_k, \mathcal{E}_n$  and  $\mathcal{D}_n$   
s.t.  $r = \frac{1}{n} \log_2 k, F(\Phi_k, \tilde{\Phi}_k) \geq 1 - \varepsilon$ .

- ⊙ Quantum capacity

$$Q(\mathcal{N}) := \lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \{r : (r, n, \varepsilon) \text{ achievable}\}.$$

- ⊙ Strong converse rate  $r_0$ :

for any achievable  $(r, n, \varepsilon)$  such that  $r \geq r_0$ , then  $\varepsilon \rightarrow 1$  as  $n \rightarrow \infty$ .

- ⊙ Strong converse quantum capacity

$$Q^\dagger(\mathcal{N}) := \inf\{r_0 : r_0 \text{ strong converse rate}\}.$$

- ⊙ For any quantum channel  $\mathcal{N}$ , it holds  $Q(\mathcal{N}) \leq Q^\dagger(\mathcal{N})$ .

**Theorem** (Barnum, Nielsen, Schumacher, 1996-2000; Lloyd, Shor, Devetak, 1997-2005)

For any quantum channel  $\mathcal{N}$ , its quantum capacity is equal to the regularized coherent information of the channel:

$$Q(\mathcal{N}) = \lim_{n \rightarrow \infty} \frac{1}{n} I_c(\mathcal{N}^{\otimes n}),$$

where  $I_c(\mathcal{N}) = \max_{\phi_{AA'}} I(A)_B)_{\mathcal{N}_{A' \rightarrow B}(\phi_{AA'})}$  and  $\phi_{AA'}$  is a pure state.

- ⊙ Not a single-letter formula.
- ⊙  $n \rightarrow \infty$  is necessary in general [Cubitt et.al, 2014].
- ⊙  $I_c(\mathcal{N})$  not additive in general.
- ⊙  $Q(\mathcal{N})$  not additive in general [Smith, Yard, 2009]

**Difficult to compute!**

Even for qubit depolarizing channel

$$\mathcal{N}(\rho) = (1-p)\rho + p\frac{\mathbb{1}}{2},$$

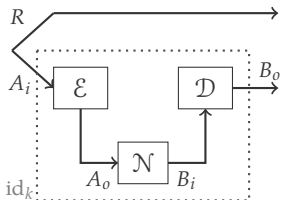
its quantum capacity is still unknown.

For most recent result, refer to [Sutter et.al, 2014; Leditzky et.al, 2017]

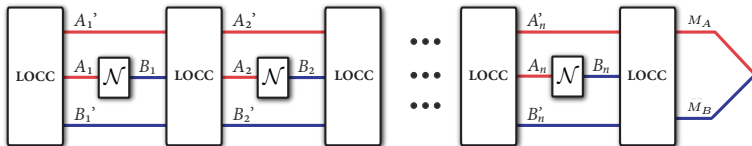
## Some known converse (upper) bounds:

- ⊙  $R$ : Rains information [Tomamichel et.al, 2014]
- ⊙  $\epsilon$ -DEG: Epsilon degradable bound [Sutter et.al, 2014]
- ⊙  $E_{sq}$ : Squashed entanglement of a channel [Takeoka et.al, 2013]
- ⊙  $E_C$ : Entanglement cost of a channel [Berta et.al, 2011]
- ⊙  $Q_E$ : Entanglement assisted quantum capacity [Bennett et.al, 2009]
- ⊙  $Q_{ss}$ : Quantum capacity with symmetric side channels [Smith et.al, 2006]
- ⊙  $Q_{\Theta}$ : Partial transposition bound [Holevo, Werner, 1999; Muller-Hermes et.al, 2015]

Have a summary later...

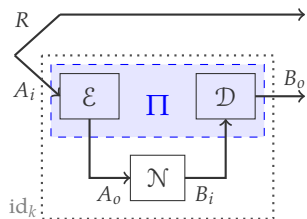


**Result 1:** improved SDP one-shot converse bound.



**Result 2:** improved SDP strong converse bound for LOCC-assisted quantum capacity.

Converse bounds for  
one-shot quantum capacity

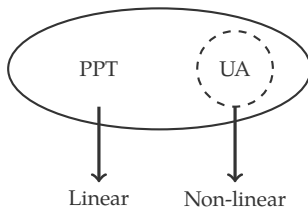
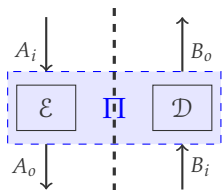


- ⊙ Unassisted code (UA):

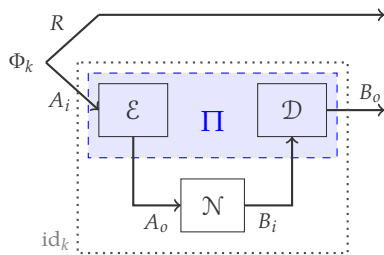
$$\Pi_{A_i B_i \rightarrow A_o B_o} = \mathcal{E}_{A_i \rightarrow A_o} \otimes \mathcal{D}_{B_i \rightarrow B_o}.$$

- ⊙ Positive-partial-transpose (PPT) code: [Rains, 1999 & 2001]

$$J_{\Pi} = \Pi_{A_i B_i \rightarrow A_o B_o} \left( \Phi_{A_i B_i : A'_i B'_i} \right), \quad J_{\Pi}^{T_{B_i B_o}} \geq 0.$$







## Maximum channel fidelity

$$F_{\Omega}(\mathcal{N}, k) := \sup_{\Pi \in \Omega} F(\underbrace{\Phi_k}_{\text{input}}, \underbrace{\Pi \circ \mathcal{N}(\Phi_k)}_{\text{output}}).$$

where  $\Omega \in \{\text{UA}, \text{PPT}\}$ .

## One-shot quantum capacity

$$Q_{\Omega}^{(1)}(\mathcal{N}, \varepsilon) := \log \max \{k : F_{\Omega}(\mathcal{N}, k) \geq 1 - \varepsilon\}.$$

↖ error tolerance

## (Asymptotic) quantum capacity

$$Q_{\Omega}(\mathcal{N}) = \lim_{\varepsilon \rightarrow 0} \lim_{n \rightarrow \infty} \frac{1}{n} Q_{\Omega}^{(1)}(\mathcal{N}^{\otimes n}, \varepsilon).$$

[Tomamichel, Berta, Renes, 2016]  $Q^{(1)}(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon)$ ,

$$\begin{aligned} \text{where } f(\mathcal{N}, \varepsilon) = \min \operatorname{Tr} S_A \\ \text{s.t. } \operatorname{Tr} J_{\mathcal{N}} W_{AB} \geq 1 - \varepsilon, S_A \geq 0, \Theta_{AB} \geq 0, \operatorname{Tr} \rho_A = 1, \\ 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, S_A \otimes \mathbb{1}_B \geq W_{AB} + \Theta_{AB}^{T_B}. \end{aligned} \quad (1)$$

SDP: linear objective function with semidefinite conditions.

### Main Result 1: improved SDP converse for one-shot capacity

For any quantum channel  $\mathcal{N}$  and error tolerance  $\varepsilon$ , the inequality chain holds

$$Q^{(1)}(\mathcal{N}, \varepsilon) \leq Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon) \leq -\log g(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon). \quad (2)$$

- ⊙ **Step 1:** Derive the optimization for  $Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon)$ ;
- ⊙ **Step 2:** Relax  $Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon)$  to a semidefinite program  $-\log g(\mathcal{N}, \varepsilon)$ ;
- ⊙ **Step 3:** Prove  $-\log g(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon)$ .

[Leung, Matthews, 2015]

$$F_{PPT}(\mathcal{N}, k) = \max \text{Tr } J_{\mathcal{N}} W_{AB} \text{ s.t. } 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \text{Tr } \rho_A = 1, \\ -k^{-1} \rho_A \otimes \mathbb{1}_B \leq W_{AB}^{T_B} \leq k^{-1} \rho_A \otimes \mathbb{1}_B.$$

Use the definition that  $Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon) := \log \max \{k : F_{PPT}(\mathcal{N}, k) \geq 1 - \varepsilon\}$ ,

**Step 1:**  $Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon) = -\log \min m$

$$\text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} \geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \\ \text{Tr } \rho_A = 1, -m \rho_A \otimes \mathbb{1}_B \leq W_{AB}^{T_B} \leq m \rho_A \otimes \mathbb{1}_B.$$

**Step 2:**  $-\log g(\mathcal{N}, \varepsilon) := -\log \min \text{Tr } S_A$

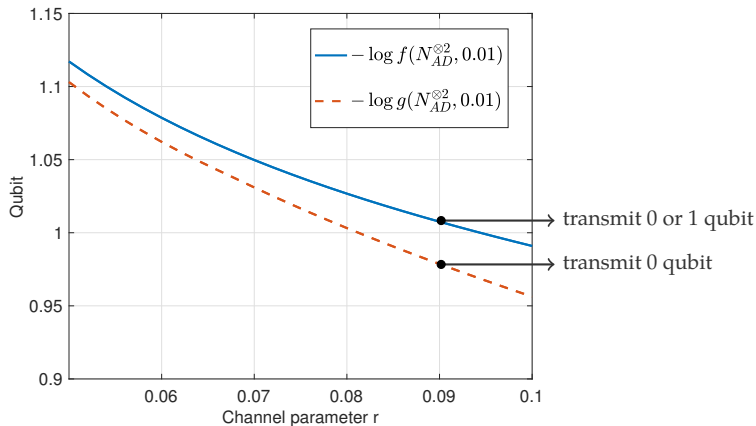
$$\text{s.t. } \text{Tr } J_{\mathcal{N}} W_{AB} \geq 1 - \varepsilon, 0 \leq W_{AB} \leq \rho_A \otimes \mathbb{1}_B, \\ \text{Tr } \rho_A = 1, -S_A \otimes \mathbb{1}_B \leq W_{AB}^{T_B} \leq S_A \otimes \mathbb{1}_B.$$

Thus  $Q^{(1)}(\mathcal{N}, \varepsilon) \leq Q_{PPT}^{(1)}(\mathcal{N}, \varepsilon) \leq -\log g(\mathcal{N}, \varepsilon)$ .

**Step 3:** Prove  $-\log g(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon)$  by constructing feasible solutions.

Amplitude damping channel  $\mathcal{N}_{AD} = \sum_{i=0}^1 E_i \cdot E_i^\dagger$  with

$$E_0 = |0\rangle\langle 0| + \sqrt{1-r}|1\rangle\langle 1| \quad E_1 = \sqrt{r}|0\rangle\langle 1|, \quad 0 \leq r \leq 1.$$



We can further improve the SDP converse bound by considering non-singalling codes.



### Main Result 1: improved SDP converse for one-shot capacity

For any quantum channel  $\mathcal{N}$  and error tolerance  $\varepsilon$ , the inequality chain holds

$$\begin{aligned}
 Q^{(1)}(\mathcal{N}, \varepsilon) &\leq Q_{PPT \cap NS}^{(1)}(\mathcal{N}, \varepsilon) \\
 &\leq -\log \tilde{g}(\mathcal{N}, \varepsilon) \leq -\log \hat{g}(\mathcal{N}, \varepsilon) \leq -\log g(\mathcal{N}, \varepsilon) \leq -\log f(\mathcal{N}, \varepsilon).
 \end{aligned} \tag{3}$$

Converse bound for  
asymptotic quantum capacity

[Wang, Duan, 2016] introduced an SDP converse bound  $Q_\Gamma(\mathcal{N})$  for quantum capacity, i.e.,  $Q(\mathcal{N}) \leq Q_\Gamma(\mathcal{N})$ , where

$$\begin{aligned} Q_\Gamma(\mathcal{N}) := \log \max \operatorname{Tr} J_{\mathcal{N}} R_{AB} \\ \text{s.t. } R_{AB} \geq 0, \rho_A \geq 0, \operatorname{Tr} \rho_A = 1, \\ -\rho_A \otimes \mathbb{1}_B \leq R_{AB}^{T_B} \leq \rho_A \otimes \mathbb{1}_B. \end{aligned} \quad (4)$$

### Some nice properties:

- ⊙ Additivity:  $Q_\Gamma(\mathcal{N} \otimes \mathcal{M}) = Q_\Gamma(\mathcal{N}) + Q_\Gamma(\mathcal{M})$  (by utilizing SDP duality).
- ⊙ For noiseless quantum channel  $\operatorname{id}_m$ ,  $Q(\operatorname{id}_m) = Q_\Gamma(\operatorname{id}_m) = \log_2 m$ .
- ⊙ Strong converse: achievable  $(r, n, \varepsilon)$  satisfies  $\varepsilon \geq 1 - 2^{-n(r - Q_\Gamma(\mathcal{N}))}$ .
- ⊙ Tighter than the Partial Transposition bound [Holevo, Werner, 2001], i.e.,

$$Q_\Gamma(\mathcal{N}) \leq Q_\Theta(\mathcal{N}) := \log \|T \circ \mathcal{N}\|_\diamond,$$

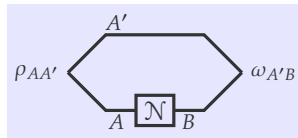
where  $T$  is the transpose map,  $\|\cdot\|_\diamond$  is the diamond norm [Aharonov et.al, 1998].

**We have a better understanding of  $Q_\Gamma(\mathcal{N})$  now.**

For any vector norm  $\|\cdot\|$ , we can define its induced norm  $\|A\| = \sup_{\|x\|=1} \|Ax\|$ .

Similarly, given an entanglement measure  $E$ , the **entanglement of a quantum channel** is defined as

$$E(\mathcal{N}) := \sup_{\rho_{A'A}} E(A' : B)_\omega, \text{ where } \omega_{A'B} = \mathcal{N}_{A \rightarrow B}(\rho_{A'A}).$$



Consider the entanglement measure  $R_{\max}$  in [Wang, Duan, 2016]

$$R_{\max}(\rho) := \log \max \left\{ \text{Tr } \rho R_{AB} : -\mathbb{1}_{AB} \leq R_{AB}^{T_B} \leq \mathbb{1}_{AB}, R_{AB} \geq 0 \right\}, \quad (5)$$

$$= \min_{\sigma \in \text{PPT}'} D_{\max}(\rho \| \sigma), \quad [\text{Rains bound: } R(\rho) = \min_{\sigma \in \text{PPT}'} D(\rho \| \sigma)] \quad (6)$$

where the Rains set  $\text{PPT}' := \{\sigma \geq 0 : \|\sigma^{T_B}\|_1 \leq 1\}$  and  $D_{\max}(\rho \| \sigma) := \log \inf\{t : \rho \leq t\sigma\}$ .  
Then we have

$$Q_\Gamma(\mathcal{N}) = \max_{\rho_{A'A}} R_{\max}(\mathcal{N}_{A \rightarrow B}(\rho_{A'A})). \quad (7)$$

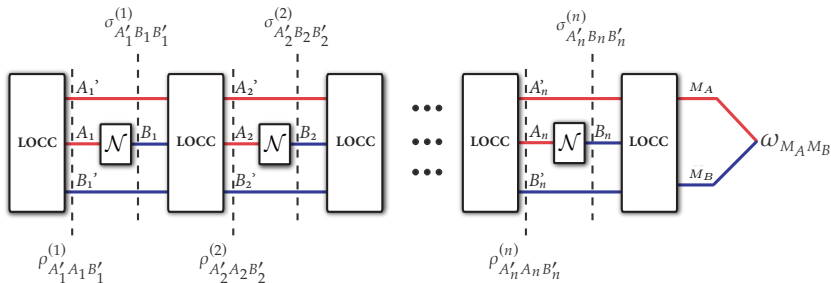


Rains bound [Rains, 2001]		$R_{\max}$ [Wang, Duan, 2016]		Log-negativity [Vidal, Werner, 2001]
$R(\rho) = \min_{\sigma \in \text{PPT}'} D(\rho \ \sigma)$ [Audenaert et.al, 2001]	$\leq$	$R_{\max}(\rho) = \min_{\sigma \in \text{PPT}'} D_{\max}(\rho \ \sigma)$ [Wang et.al, 2017]	$\leq$	$E_N(\rho) = \log \ \rho^{T_B}\ _1$
Rains information [Tomamichel et.al, 2014]		$Q_{\Gamma}(R_{\max})$ [Wang, Duan, 2016]		Partial trans. bound [Holevo, Werner, 2001]
$R(\mathcal{N}) = \sup_{\rho_{A'A}} R(\omega)$	$\leq$	$Q_{\Gamma}(\mathcal{N}) = \sup_{\rho_{A'A}} R_{\max}(\omega)$	$\leq$	$Q_{\Theta}(\mathcal{N}) = \sup_{\rho_{A'A}} E_N(\omega)$

Thus it is clear that

$$Q(\mathcal{N}) \leq Q^{\dagger}(\mathcal{N}) \leq R(\mathcal{N}) \leq R_{\max}^{\parallel}(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N}).$$

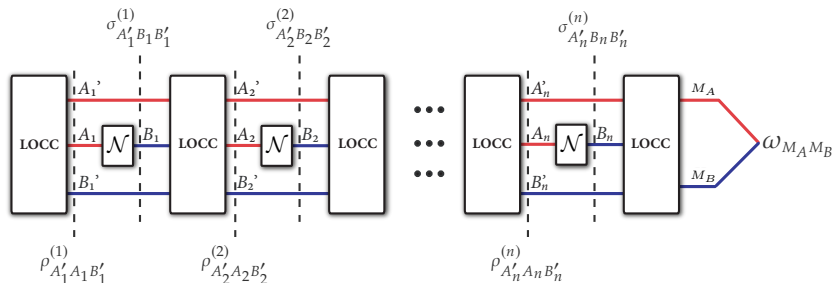
- ⊙  $R(\mathcal{N})$  is a strong converse **but** not known to be efficiently computable in general.
- ⊙  $R_{\max}(\mathcal{N})$  is a strong converse **and efficiently computable** in general.



LOCC: local operations and classical communication.

The most relevant setting in practice

but much more complicated due to the potentially infinite rounds of c.c.



$(r, n, \varepsilon)$  is achievable if  $\exists \{\text{LOCC}_n\}$ , such that  $r = \frac{1}{n} \log_2 k$  and  $F(\omega_{M_A M_B}, \Phi_k) \geq 1 - \varepsilon$ .

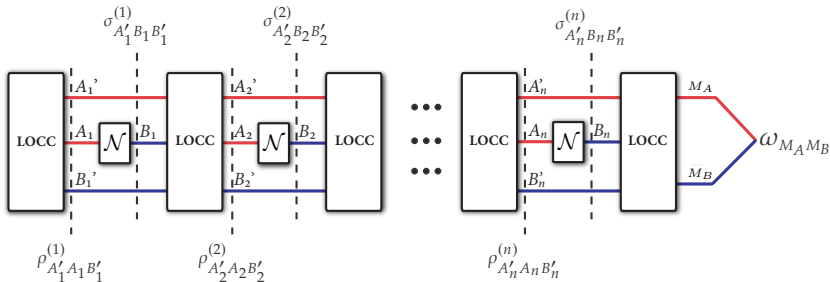
$$Q^{\leftrightarrow}(\mathcal{N}) := \lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \{r : (r, n, \varepsilon) \text{ achievable}\}.$$

Strong converse rate  $r_0$ :

for any achievable  $(r, n, \varepsilon)$  such that  $r \geq r_0$ , then  $\varepsilon \rightarrow 1$  as  $n \rightarrow \infty$ .

Strong converse LOCC-assisted quantum capacity

$$Q^{\leftrightarrow, \dagger}(\mathcal{N}) := \inf\{r_0 : r_0 \text{ strong converse rate}\}.$$



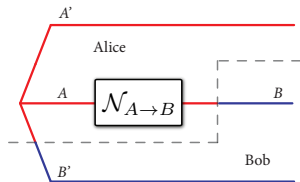
## Main Result 2: improved SDP strong converse for $Q^{\leftrightarrow}$

For any quantum channel  $\mathcal{N}$ , it holds  $Q^{\leftrightarrow}(\mathcal{N}) \leq Q^{\leftrightarrow,+}(\mathcal{N}) \leq R_{\max}(\mathcal{N}) \leq Q_{\Theta}(\mathcal{N})$ .

This established the tightest known efficiently computable strong converse bound on LOCC-assisted quantum capacity of an arbitrary channel.

Note: See also the strong converse bound for the LOCC-assisted private capacity in

[Christandl, Müller-Hermes, 2016].



Quantum channel  $\mathcal{N}_{A \rightarrow B}$ , entanglement measure  $E$ ,  
Define the **amortized entanglement of the channel**  
as follows:

$$E_A(\mathcal{N}) := \sup_{\rho_{A'AB'}} \frac{E(A' : BB')_{\omega} - E(A'A : B')_{\rho}}{\text{net amount of ent.}}$$

where  $\omega_{A'BB'} = \mathcal{N}_{A \rightarrow B}(\rho_{A'AB})$ .

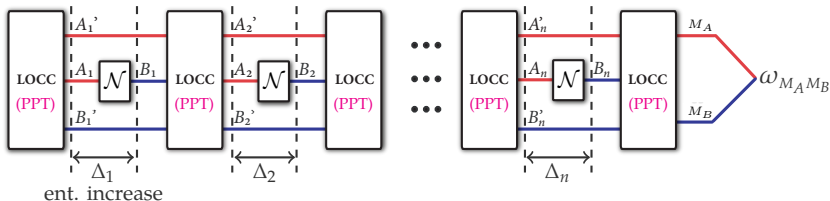
Recall that the entanglement of the channel is

$$E(\mathcal{N}) := \sup_{\rho_{A'A}} E(A' : B)_{\omega}, \text{ where } \omega_{A'B} = \mathcal{N}_{A \rightarrow B}(\rho_{A'A}).$$

- ⊙ It is clear that  $E(\mathcal{N}) \leq E_A(\mathcal{N})$  since we could take the  $B'$  system trivial in  $E_A(\mathcal{N})$ .
- ⊙ For squashed entanglement,  $E_{sq}(\mathcal{N}) = E_{sq,A}(\mathcal{N})$  [Takeoka et.al,2014].
- ⊙ For max-Rains information,  $R_{\max}(\mathcal{N}) = R_{\max,A}(\mathcal{N})$ .

We only need to prove that  $R_{\max}(A' : BB')_{\omega} - R_{\max}(A'A : B)_{\rho} \leq R_{\max}(\mathcal{N})$ .

All terms are SDPs and the inequality can be shown by constructing feasible solutions.



Since  $R_{\max}(\mathcal{E}(\rho)) \leq R_{\max}(\rho)$  for any PPT operation  $\mathcal{E}$ , we have

$$R_{\max}(M_A : M_B)_\omega \leq \sum_{i=1}^n \Delta_i \leq n \cdot R_{\max, A}(\mathcal{N}) = n \cdot R_{\max}(\mathcal{N}). \quad (8)$$

For any achievable  $(r, n, \varepsilon)$ , denote  $k = 2^{nr}$

- ⊙  $\text{Tr} \Phi_k \omega_{M_A M_B} \geq 1 - \varepsilon$ ;  $\text{Tr} \Phi_k \sigma \leq \frac{1}{k}$  for any  $\sigma \in \text{PPT}'$  [Rains, 2001].
- ⊙ perform test  $\{\Phi_k, \mathbb{1} - \Phi_k\}$ ,  $D_H^\varepsilon(\omega \| \sigma) \geq \log k$  for any  $\sigma \in \text{PPT}'$ .
- ⊙  $D_{\max}(\rho \| \sigma) \geq D_H^\varepsilon(\rho \| \sigma) + \log(1 - \varepsilon)$  [Dupuis et.al, 2013].

$$R_{\max}(M_A : M_B)_\omega = \min_{\sigma \in \text{PPT}'} D_{\max}(\omega \| \sigma) \geq \log(1 - \varepsilon) k. \quad (9)$$

Combining Eq. (8),(9), we have  $\varepsilon \geq 1 - 2^{-n(r - R_{\max}(\mathcal{N}))}$ , which implies strong converse.

	$Q$	$Q^+$	$Q^{\leftrightarrow}$	$Q^{\leftrightarrow,+}$	Efficiently computable	General channels
$Q_{\Gamma}(R_{\max})$	✓	✓	✓	✓	✓	✓
$R$	✓	✓	?	?	? (max-min)	✓
$\varepsilon$ -DEG	✓	?	?	?	✓	✓
$E_{sq}$	✓	?	✓	?	? (max-min & unbounded dim.)	✓
$E_C$	✓	✓	✓	✓	? (regularization)	✓
$Q_E$	✓	✓	✓	?	✓	✓
$Q_{ss}$	✓	?	?	?	? (unbounded dim.)	✓
$Q_{\Theta}$	✓	✓	✓	✓	✓	✓

- ⊙  $Q_{\Gamma}(R_{\max})$ : SDP strong converse bound in this talk.
- ⊙  $R$ : Rains information [Tomamichel et.al, 2014]
- ⊙  $\varepsilon$ -DEG: Epsilon degradable bound [Sutter et.al, 2014]
- ⊙  $E_{sq}$ : Squashed entanglement of a channel [Takeoka et.al, 2013]
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- ⊙  $Q_E$ : Entanglement assisted quantum capacity [Bennett et.al, 2009]
- ⊙  $Q_{ss}$ : Quantum capacity with symmetric side channels [Smith et.al, 2006]
- ⊙  $Q_{\Theta}$ : Partial transposition bound [Holevo, Werner, 1999; Muller-Hermes et.al, 2015]
- ⊙  $\exists \mathcal{N}, Q_{\Gamma}(\mathcal{N}) < \varepsilon$ -DEG( $\mathcal{N}$ );  $\exists \mathcal{N}, Q_{\Gamma}(\mathcal{N}) < Q_E(\mathcal{N})$ .

Thanks for your attention!

See arXiv:

1709.00200 & 1709.04907

for more details